

Rec'd PCT/PTO 06 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Alexandria, VA

In re Application of : )  
Horst Grzonka )  
Serial No.: )  
10/523,772 )  
Filed: 02/08/2005 )  
International Filing Date: 08/07/2003 )  
For: IMPROVEMENTS RELATING TO )  
FILTER-TIP CIGARETTES AND )  
METHOD OF MANUFACTURING SAME)

**INFORMATION DISCLOSURE STATEMENT UNDER 1.97(b)**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the Examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references are enclosed.

**U.S. REFERENCES CITED:**

<u>PATENT</u>	<u>PUBLISHED</u>	<u>INVENTOR</u>
4,387,728	06/14/1983	Reynolds et al.
4,552,158	11/12/1985	Berger
4,675,064	06/23/1987	Berger
5,662,126	09/02/1997	Charlton et al.
6,302,114	10/16/2001	Clarke et al.

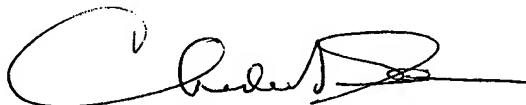
FOREIGN REFERENCES CITED:

<u>PATENT</u>	<u>PUBLISHED</u>	<u>APPLICANT</u>
1,019,092	02/02/1966	Molins et al.
1,372,692	11/06/1974	Labbe
WO 00/53037	09/14/2000	Filtrona International Ltd.
WO 01/41590	06/14/2001	British American Tobacco (Investments) Ltd.

Certification Under 37 CFR §1.97(b)

This information is being submitted before the mailing date of a first Office action on the merits. As such, no fee is due for its submission.

Respectfully submitted,



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Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 1 of 1

**Complete if Known**

Application Number	10/523,772
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Filing Date

First Named Inventor	Grzonka
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**Art Unit**

Examiner Name

Attorney Docket Number	RD-BAT-11
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		GB 1019092	02/02/1966	Molins et al.		
		GB 1372692	11/06/1974	Labbe		
		WO 00/53037	09/14/2000	Filtrona Int'l Ltd.		
		WO 01/41590	06/14/2001	British American Tobacco (Investment) Ltd.		

**Examiner  
Signature**

Date	Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 301.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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